



Global General Counsel Committee

May 14, 2024

SPEAKER BIOGRAPHIES

Walt Burkley is general counsel at Capital Group and serves on the leadership team for Capital Group's global legal and compliance functions. He also oversees the firm's government and regulatory affairs and sustainability and social responsibility efforts. He has 24 years of investment industry experience, all with Capital Group. Prior to joining Capital, he practiced corporate and securities law at O'Melveny & Myers. He holds a J.D. from Vanderbilt Law School and a B.A. in philosophy from Dartmouth College. He is a member of the California State Bar.

John E. Bruno is Senior Managing Director, Partner, and General Counsel at Wellington Management Company. His duties include leading the Legal and Enterprise Risk Department. Prior to 2018, he was the head of the US Legal Team, where he was responsible for managing the legal support for Wellington Management's capital markets activities, US-sponsored products, and client and regulatory matters in the US, Canada, and Latin America. He is a member of the boards of directors of Wellington Private Fund Management Shanghai, and Wellington Management Hong Kong. Prior to joining Wellington Management in 2001, Bruno was an associate at Kirkpatrick & Lockhart LLP (1994 – 2001). He received his JD from Boston University School of Law (1994) and his BA from Tufts University (1990).

Cissie Citardi is a partner and General Counsel of William Blair. She is responsible for overseeing legal and compliance matters across all areas of the firm's business. She is a member of the firm's executive committee and also serves on the board of trustees for the William Blair Funds and is a director of the William Blair SICAV. Before joining William Blair in 2020, Citardi served as the general counsel for PineBridge Investments, a private global asset manager with capabilities in multi-asset, fixed income, equities, and alternatives. She was also a member of the senior management committee. Before joining PineBridge, she worked at Silver Point Capital, counseling investment teams providing customized debt and equity financing to middle-market companies.

Langston Emerson is a managing partner at Mindset, a policy advisory and advocacy firm in Washington, DC. Emerson leads the asset management practice, where he advocates on federal policy issues important to clients and their investors and shareholders. He also leads a team to conduct due diligence on behalf of private equity and venture capital investments. Previously, he was the head of government affairs for the American Investment Council, advising large private equity firms, and led the financial service government affairs practice at TIAA during the

creation of the Dodd Frank Act. Emerson holds a B.A. from Howard University and a J.D. from The George Washington University Law School.

Brian Karney, CISSP, is a managing director in the Forensic & Investigations practice and Legal Business Services practice of Deloitte Transaction and Business Analytics LLP. He has worked at the forefront of eDiscovery, Forensics, and Cybersecurity for almost two decades, bringing a wealth of leadership, product, and technical experience to the Forensic & Investigations practice. He assists corporations in managing, improving, and conducting eDiscovery, compliance, and investigation projects. He received a B.S. in Business from the University of Kansas.

Jeffrey Kupor is Senior Managing Director and General Counsel at Invesco, Ltd. Kupor joined Invesco in 2002 and has held a number of legal roles, including most recently as Head of Legal, Americas, in which role he was responsible for legal support for Invesco's Americas business. Prior to joining the firm, he practiced law at Fulbright & Jaworski LLP (now known as Norton Rose Fulbright), specializing in complex commercial and securities litigation. He also served as the general counsel of a publicly traded communication services company. Kupor earned a BS degree in economics from the Wharton School at the University of Pennsylvania and a JD from the Boalt Hall School of Law (now known as Berkeley Law) at the University of California at Berkeley.

Thomas Merchant is Executive Vice President, General Counsel and Secretary for Franklin Resources, Inc. He oversees the Company's Legal and Compliance divisions, including the Legal, Regulatory Compliance, Investment Compliance, and Internal Audit departments. In addition, he is a member of Franklin Resources' executive committee, a small group of the company's top leaders responsible for shaping the firm's overall strategy. Prior to his current position, he was the Deputy General Counsel and Head of Franklin Templeton Regulatory Compliance for Franklin Templeton. At Legg Mason, he progressed in his roles to include Vice President, General Counsel-Corporate and Corporate Secretary, while successfully leading the Company's Corporate Legal Department. He earned a B.S. in Economics from Penn State University, graduating with honors and high distinction, and his J.D. from New York University School of Law.

Michelle Moran is a partner and a practice group coordinator of the global Asset Management and Investment Funds practice group at K&L Gates. In this capacity, she advises European and US clients on the establishment, authorization, and management of retail and institutional investment funds in the UK, Ireland, Luxembourg, and Jersey. These include UCITS, hedge funds, private funds, investment trusts, real estate funds, segregated institutional portfolios, and exchange traded funds. Michelle's extensive regulatory experience includes having participated on the EU Commission working groups responsible for formulating proposals to change UCITS legislation as well as representing numerous financial institutions on sensitive issues involving EU regulators in both contentious and non-contentious matters. She was appointed an officer of the International Bar Association's Investment Funds Committee in January 2015. She received her LL.B. from the University of London.

Sung-Hee Suh is a managing director for the Pacific Investment Management Company, and the firm's General Counsel for global regulatory and litigation. Prior to joining PIMCO in 2018, she was a partner at the law firm of White & Case, where she was head of its New York white collar and investigations practice. Prior to that, she served as Deputy Assistant Attorney General of the Criminal Division in the U.S. Department of Justice. Previous roles include litigation partner at the law firm of Schulte Roth & Zabel and Deputy Chief of the Organized Crime and Racketeering Section in the United States Attorney's Office for the Eastern District of New York. Sung-Hee holds a J.D. from Harvard Law School and an undergraduate degree from Harvard College.

Bruce Treff is a managing director with Deloitte & Touche LLP's Risk & Financial Advisory practice, assists clients with regulatory and compliance issues. He has more than 25 years of experience in the investment management industry, with an emphasis on registered investment companies and alternative products, registered investment advisers, third-party administrators, transfer agents, and underwriters. In addition to his industry experience as a regulatory consultant, Bruce has held senior legal and compliance positions at large investment advisers, administrators, and custodial banks servicing registered and unregistered products. Earlier in his career, Bruce was also responsible for the US mutual fund servicing business for a global custodian. Bruce is admitted to practice law in New York and Connecticut and is a past member of the ICI Rules Committee and Chief Compliance Officer Committee, and past co-chair of the NICSA Legal and Regulatory Committee and co-chair of the NICSA Compliance and Risk Management Committee. He received his B.A. from Syracuse University and his J.D. from Pace University School of Law.